

## DECLARATION AND POWER OF ATTORNEY

RECEIVED

As a below named inventor, I hereby declare that:

FEB 21 2002

My residence, post office and citizenship are as stated below next to my name,

OFFICE OF PETITIONS

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled:

SYSTEM, METHOD AND MEDIUM FOR TRADING FIXED INCOME SECURITIES,

the specification of which was filed on March 16, 2001 as Application Serial No. 09/809,429.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s):****Priority Claimed****Number      Country      Day/Month/Year filed****Yes      No**

I hereby claim the benefit under 35 USC 119(e) of any United States provisional application(s) listed below.

**Prior Provisional Application(s):****Application Number      Filing Date**

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Prior U. S. Non-Provisional Application(s):****Serial No.      Filing Date      Status: Patented, Pending, Abandoned**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and

belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby grant the firm of HALE AND DORR LLP the power to insert on this Declaration any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document

I hereby appoint the following registered practitioners, and all registered practitioners listed at **customer number 24395**:

Scott M. Alter, Reg. No. 32,879; Hollie L. Baker, Reg. No. 31,321; Barbara A. Barakat, Reg. No. 32,190; Steven D. Barrett, Reg. No. 40,903; Michael J. Bevilacqua, Reg. No. 31,091; Sally Byrne, Reg. No. 40,545; David L. Cavanaugh, Reg. No. 36,476; David J. Cerveney, Reg. No. 44,600; Nancy Chiu, Reg. No. 43,545; Michael A. Diener, Reg. No. 37,122; Peter M. Dichiaro, Reg. No. 38,005; Gregory S. Discher, Reg. No. 42,488; Irah H. Donner, Reg. No. 35,120; Richard A. Goldenberg, Reg. No. 38,895; Edward D. Grief, Reg. No. 38,898; Joseph F. Haag, Reg. No. 42,612; Wendy A. Haller, Reg. No. 35,177; Anthony H. Kahng, Reg. No. 42,704; Wayne M. Kennard, Reg. No. 30,271; Wayne A. Keown, Reg. No. 33,923; Ann-Louise Kerner, Reg. No. 33,523; Janice M. Klunder, Reg. No. 41,121; James B. Lampert, Reg. No. 24,564; Ayla A. Lari, Reg. No. 43,739; Nels Lippert, Reg. No. 25,888; Maria L. Maebius, Reg. No. 42,967; Dominic Massa, Reg. No. 44,905; Nels Lippert, Reg. No. 25,888; Maria L. Maebius, Reg. No. 42,967; Dominic Massa, Reg. No. 44,905; Robert McIsaac, Reg. No. 46,918; Cynthia K. Nicholson, Reg. No. 36,880; Keum J. Park, Reg. No. 42,059; Jason A. Reyes, Reg. No. 41,513; Gretchen A. Rice, Reg. No. 37,429; MaryRose Scozzafava, Reg. No. 36,268; Victor F. Souto, Reg. No. 33,458; Donald R. Steinberg, Reg. No. 37,241; Colleen Superko, Reg. No. 39,850; C. Hall Swaim, Reg. No. 22,838; Leonid D. Thenor, Reg. No. 39,397; Michael J. Twomey, Reg. No. 38,349; Rajesh Vallabh, Reg. No. 35,761; Gary A. Walpert, Reg. No. 26,098; Lisa M. Wilson, Reg. No. 34,045; Henry N. Wixon, Reg. No. 32,073; and Luke J. Yeh, Reg. No. 43,296.

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them at the address at **customer number 24395**, and specifically:

HALE AND DORR  
1455 Pennsylvania Avenue, NW  
Washington, DC 20004  
TEL 202.942.8400  
FAX 202.942.8484

\*\*\*\*\*

Full name of sole or first inventor: Peter J. McCarthy

Inventor's signature:

Date:

Residence: New York, New York

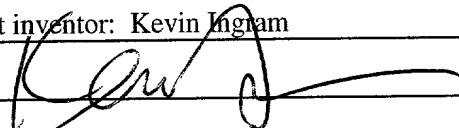
Citizenship: USA

Post Office Address: 34 West 33<sup>rd</sup> Street, Suite 1016, New York, New York 10001

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Full name of sole or first inventor: Kevin Ingham

Inventor's signature: 

Date: 1/30/02

Residence: Jersey City, New Jersey

Citizenship: USA

Post Office Address: 55-1909 River Drive South, Jersey City, New Jersey 07310

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WASHINGTON, D.C. 20540

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**Yes    No**

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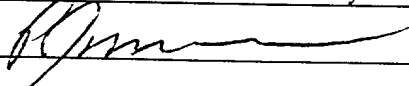
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\*\*\*\*\*

Full name of sole or first inventor: Peter J. McCarthy

Inventor's signature:  Date: 8/14/01

Residence: New York, New York

Citizenship: USA

Post Office Address: 34 West 33<sup>rd</sup> Street, Suite 1016, New York, New York 10001

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Docket No.: 111472.120US1



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PATENT/OFFICIAL

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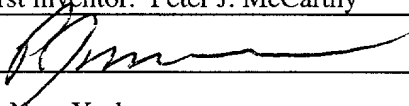
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Citizenship: USA

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Full name of sole or first inventor: Kevin Ingram

Inventor's signature: \_\_\_\_\_

Date:

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Citizenship: USA

Post Office Address: 55-1909 River Drive South, Jersey City, New Jersey 07310

[illegible]